

04-09-01

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No. 60426-236; 2000P07567US01
	First Inventor or Application Identifier Astorino, et al.
	Title Active Noise Cancellation Stability Solution
	Express Mail Label No. EL692368197US

APPLICATION ELEMENTS <small>See MPEP Chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages 12] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) 	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 2]	ACCOMPANYING APPLICATION PARTS
4. Oath or Declaration [Total Pages 5]	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement of Attorney <small>(when there is an assignee)</small>
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small>	9. <input type="checkbox"/> English Translation Document (if applicable)
i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</small>	10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
<small>NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</small>	11. <input type="checkbox"/> Preliminary Amendment
	12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>
	13. <input type="checkbox"/> Small Entity Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
	14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
	15. <input checked="" type="checkbox"/> This application claims priority to Provisional Patent Application Serial No. 60/195,026 filed 4/6/2000.
	16. <input checked="" type="checkbox"/> Other: Certificate of Express Mail

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 024500 or ☐ Correspondence address below

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Country	Telephone	Fax	

Name (Print/Type)	Anthony P. Che	Registration No. (Attorney/Agent)	47,209
Signature	<i>Anthony P. Che</i>	Date	4/6/01

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) 710.00

Complete if Known

Application Number	Herewith
Filing Date	Herewith
First Named Inventor	Astorino, et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	60426-236; 2000P07576US01

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 50-1482
- Deposit Account Name Carlson, Gaskey & Olds, P.C.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 710 201 355			Utility filing fee	710.00
106 320 206 160			Design filing fee	
107 490 207 245			Plant filing fee	
108 710 208 355			Resub filing fee	
114 150 214 75			Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims <u>20</u>	-20** = <input type="checkbox"/> X		
Independent Claims <u>3</u>	-3** = <input type="checkbox"/> X		
Multiple Dependent			

Large Entity Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103 18 203 9			Claims in excess of 20
102 80 202 40			Independent claims in excess of 3
104 270 204 135			Multiple dependent claim, if not paid over original patent
109 80 209 40			** Resub independent claims over original patent
110 18 210 9			** Resub claims in excess of 20 over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Resubmissions, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES


Large Entity Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65			Surcharge - late filing fee or oath	
127 50 227 25			Surcharge - late provisional filing fee or cover sheet	
139 130 139 130			Non-English specification	
147 2,520 147 2,520			For filing a request for ex parte reexamination	
112 920* 112 920*			Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*			Requesting publication of SIR after Examiner action	
115 110 215 55			Extension for reply within first month	
116 390 216 195			Extension for reply within second month	
117 890 217 445			Extension for reply within third month	
118 1,390 218 695			Extension for reply within fourth month	
128 1,890 228 945			Extension for reply within fifth month	
119 310 219 155			Notice of Appeal	
120 310 220 155			Filing a brief in support of an appeal	
121 270 221 135			Request for oral hearing	
138 1,510 138 1,510			Petition to institute a public use proceeding	
140 110 240 55			Petition to revive - unavoidable	
141 1,240 241 620			Petition to revive - unintentional	
142 1,240 242 620			Utility issue fee (or resub issue)	
143 440 243 220			Design issue fee	
144 600 244 300			Plant issue fee	
122 130 122 130			Petitions to the Commissioner	
123 50 123 50			Petitions related to provisional applications	
126 240 126 240			Submission of Information Disclosure Sheet	
581 40 581 40			Recording each patent assignment per property (times number of properties)	
146 710 246 355			Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710 249 355			For each additional invention to be examined (37 CFR § 1.129(b))	
179 710 279 355			Request for Continued Examination (RCE)	
169 900 169 900			Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type)	Anthony P. Cho	Registration No. (Attorney/Agent)	47,209	Telephone	248 988-8360
Signature		Date	4/6/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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